

01/31/01  
jc987 U.S. PTO

BAKER BOTTS LLP

02-01-01

30 ROCKEFELLER PLAZA  
44TH FLOOR  
NEW YORK, NEW YORK  
10112-4498  
212.705.5000  
FAX 212.705.5020

A  
AUSTIN  
BAKU  
DALLAS  
HOUSTON  
LONDON  
MOSCOW  
NEW YORK  
WASHINGTON

Appln. Trans.  
PATENT

UTILITY PATENT  
APPLICATION  
TRANSMITTAL

(Only for new nonprovisional  
applications under 37 CFR 1.53(b))

Attorney Docket No. 32941 070591.0135

First Named Inventor Dror Segal

Express Mail Label No. EK839851558US

jc972 U.S. PTO  
09/774768  
01/31/01

January 31, 2001

**BY EXPRESS MAIL** - Label No. EK839851558US

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Dror Segal, Anne E. Allen and Mark Hicks entitled  
Virtual Trading Floor System and Method

which includes:

☒ Specification 26 Total Pages  
☒ Claims 8 Total Pages  
☒ Abstract 1 Total Pages  
☒ Drawing(s) 9 Total Sheets  
       formal  
    ☒ informal

☒ Combined Declaration and Power of Attorney 4 Total Pages

☒ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - **must be filed to avoid surcharge for late filing**)

If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application No.   

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part  
of copending application Serial No.    filed   ."

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PATENT

Attorney Docket No. 32941 070591.0135

- ☐ An Assignment of the invention to \_\_\_\_\_.
- ☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ will follow.
- ☐ has been filed in the prior application
- ☐ Small Entity Statement(s) **ENCLOSED**.
- ☐ Small Entity Statement filed in prior application. Status still proper and desired.
- ☐ Information Disclosure Statement (IDS) PTO-1449
- ☐ Copies of IDS Citations.
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other \_
- ☐ Cancel in this application original claims \_ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

<u>FOR</u>	<u>(Col. 1)</u> <u>No. Filed</u>		<u>(Col. 2)</u> <u>No. Extra</u>		<u>Small Entity</u> <u>Rate</u> <u>Fee</u>		OR	<u>Other Than A</u> <u>Small Entity</u> <u>Rate</u> <u>Fee</u>	
Basic Fee									\$710.00
Total Claims	20	-20	=	0	x	9 =		x	18 = \$0.00
Ind. Claims	4	-3	=	1	x	40 =		x	80 = \$80.00
Multiple Dependent Claim					+	135 =		+	270 =
					Total	<u>\$0.00</u>			<u>\$790.00</u>

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

<input checked="" type="checkbox"/>	Basic filing fee	\$790.00
<input type="checkbox"/>	Recording Assignment [\$40.00; 37 CFR 1.21(h)]	\$0.00
	Total Fees Enclosed	\$790.00

☒ A check in the amount of \$790.00 to cover filing fee and assignment recordation fee is enclosed.

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PATENT

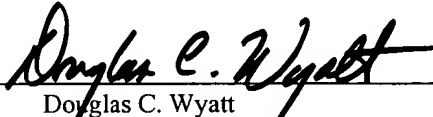
Attorney Docket No. 32941 070591.0135

Priority

- ☐ Priority of application Country   , Appln. No.    filed    is claimed under 35 U.S.C. 119.
- ☐ Certified Copy of Priority Document(s) Country   , Appln No.   , filed   .
- ☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N   .
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER BOTTS L.L.P.

By



Douglas C. Wyatt

PTO Registration No. 43,293

Enclosures